

Constrained Information Retrieval for Long-Tail Knowledge Extraction

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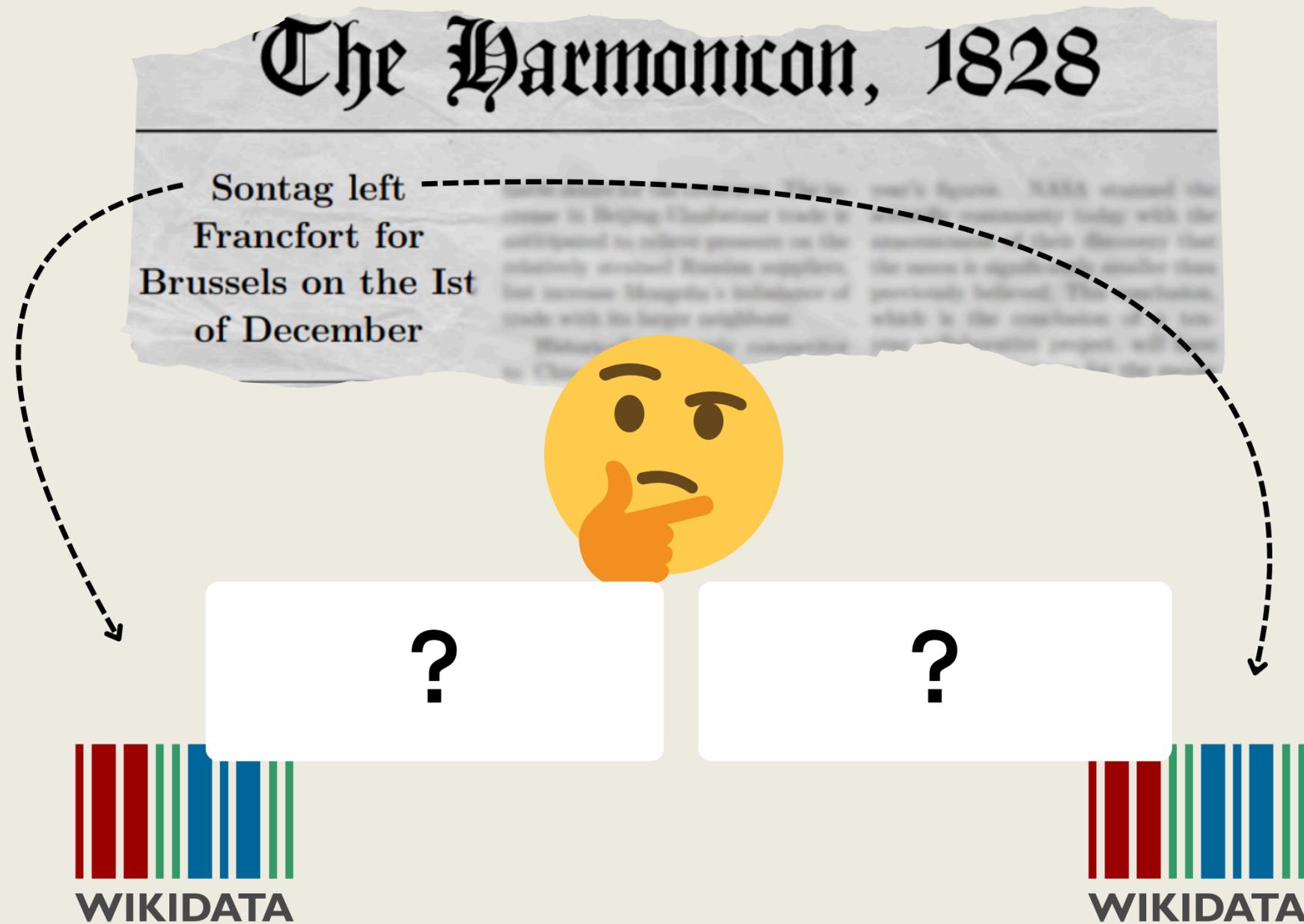
Valentina Presutti

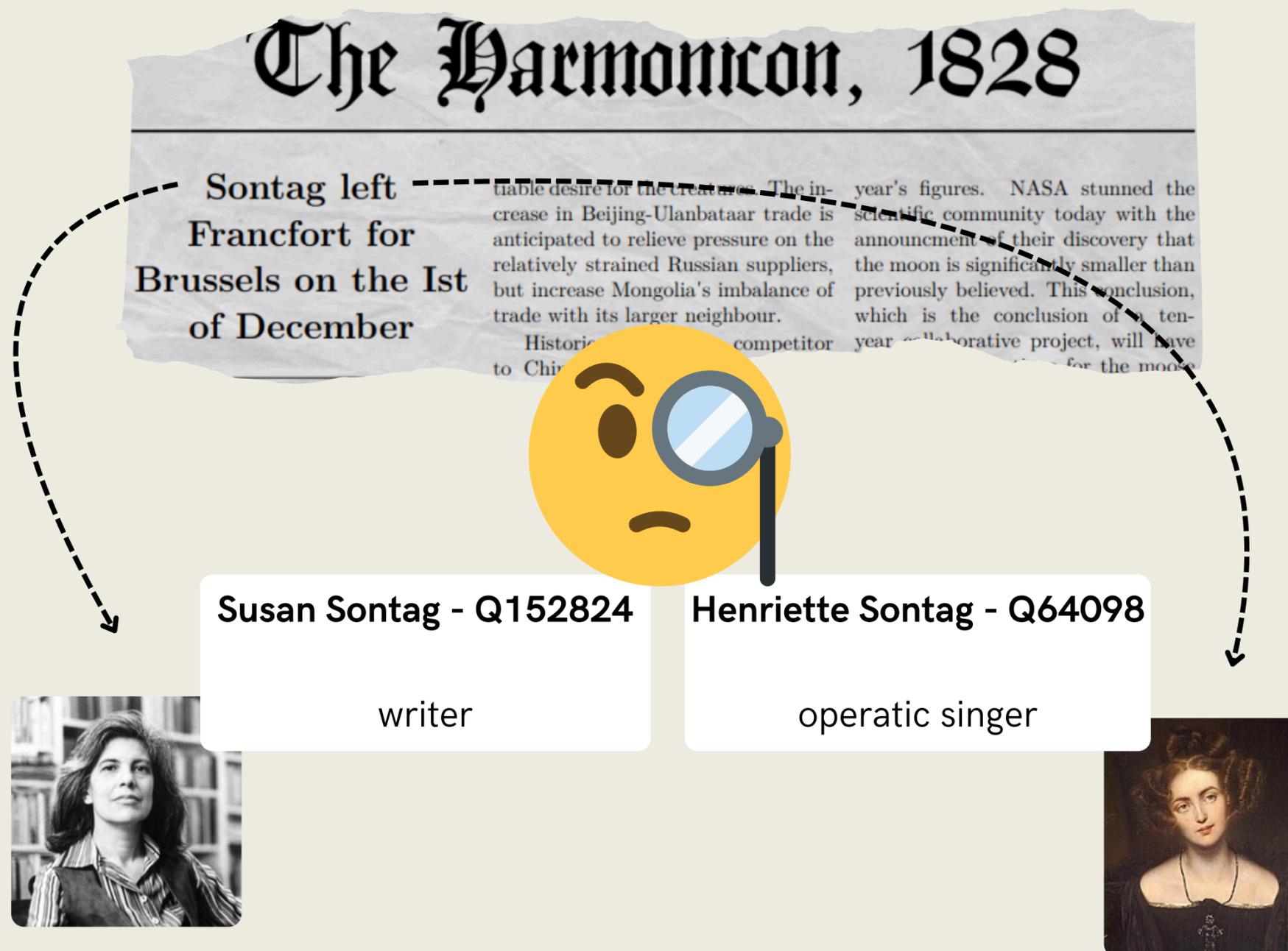
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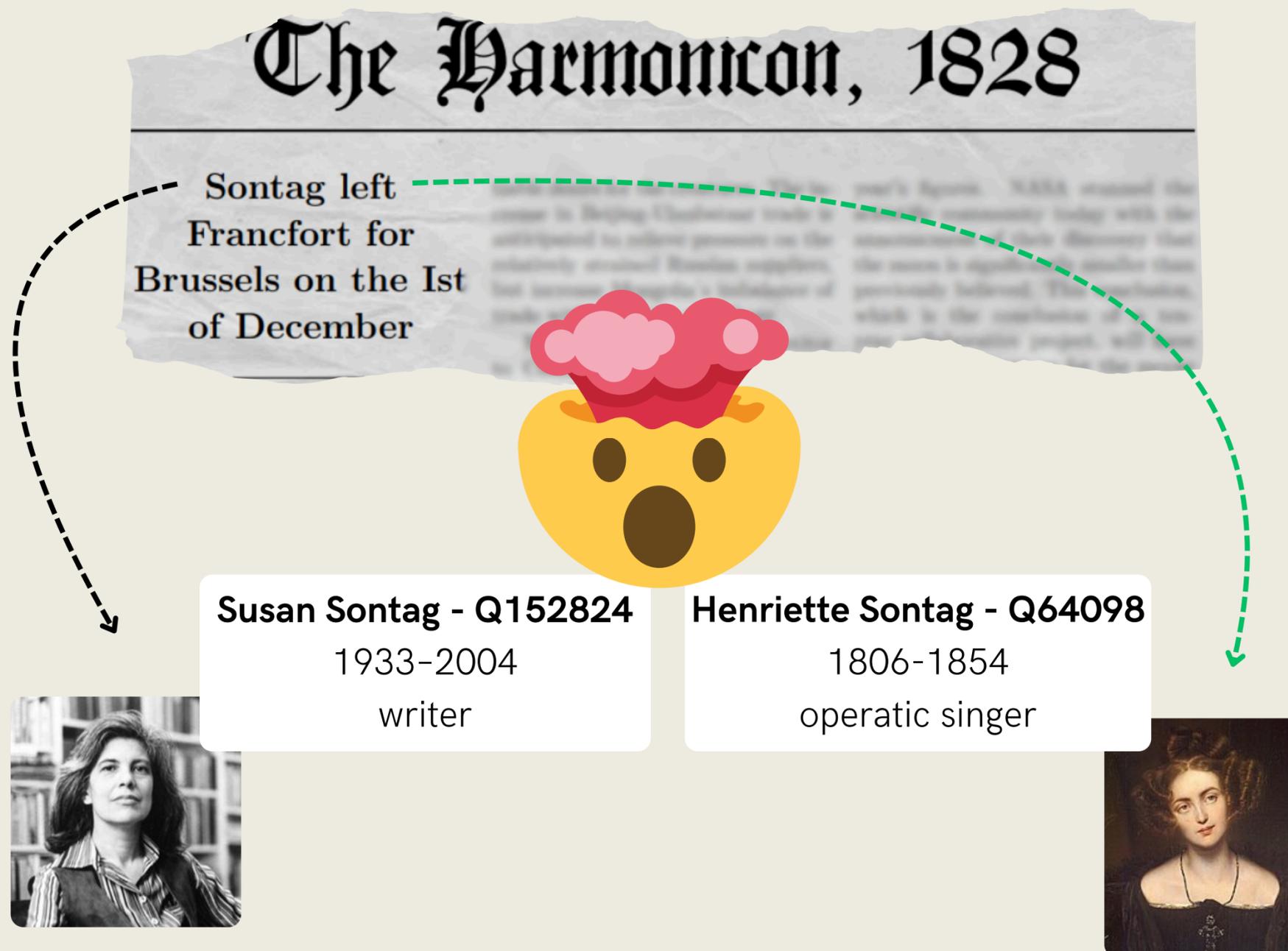
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MOTIVATION







Historical documents: a blind spot for (L)LMs

NLP research is primarily focused on **contemporary**, well-edited text, mostly in English



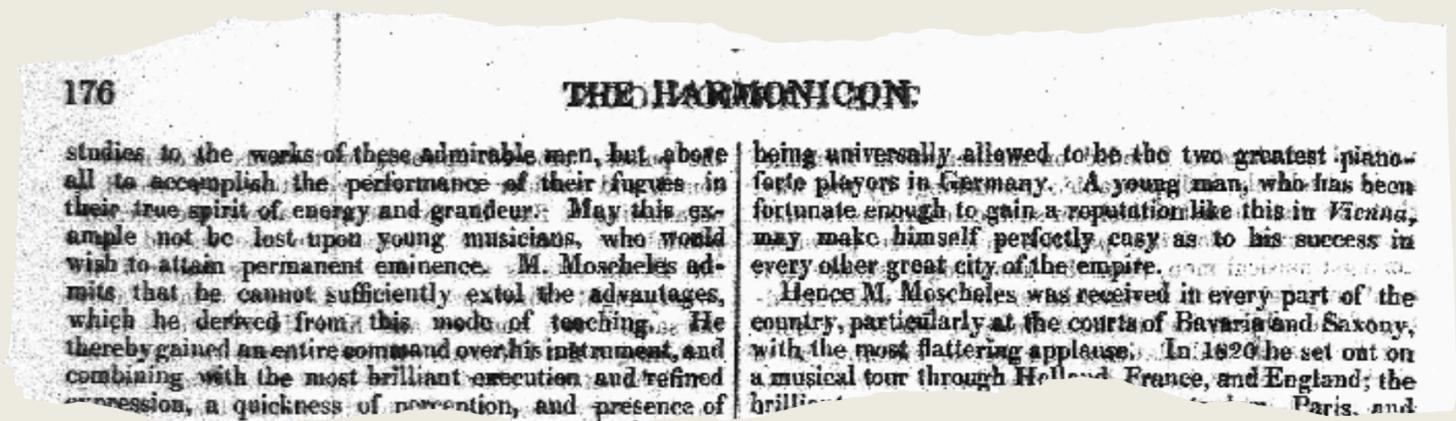
...etc.

Historical documents: a blind spot for (L)LMs

NLP research is primarily focused on **contemporary**, well-edited text, mostly in English

Historical documents pose unique challenges:

- OCR noise,
- Language variations,

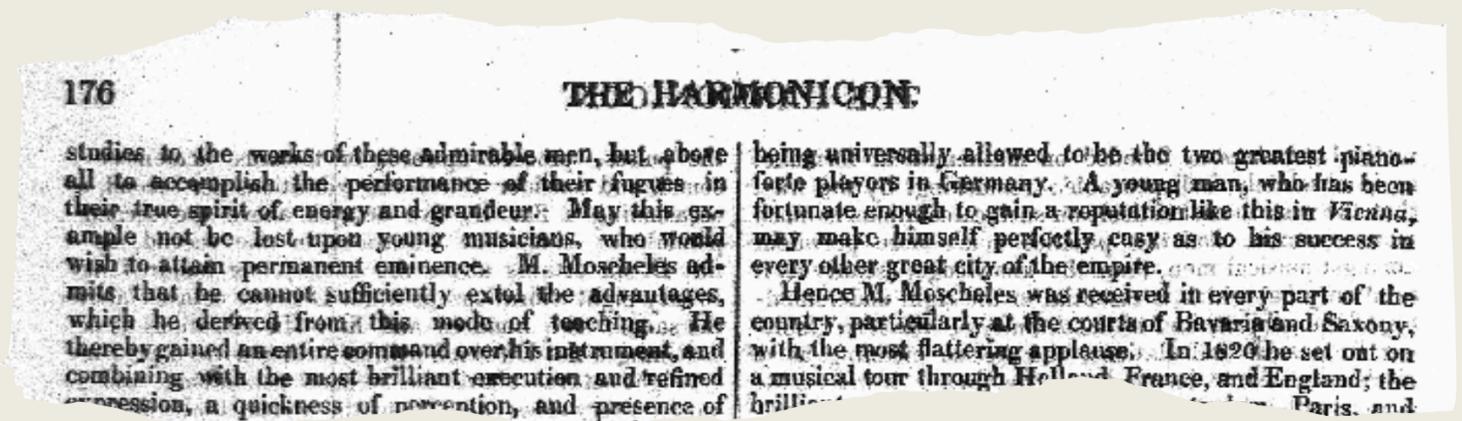


Historical documents: a blind spot for (L)LMs

NLP research is primarily focused on **contemporary**, well-edited text, mostly in English

Historical documents pose unique challenges:

- OCR noise,
- Language variations,
- Lesser-known (long-tail) entities



Historical documents: a blind spot for (L)LMs because of representation



Susan Sontag

Article Talk

From Wikipedia, the free encyclopedia

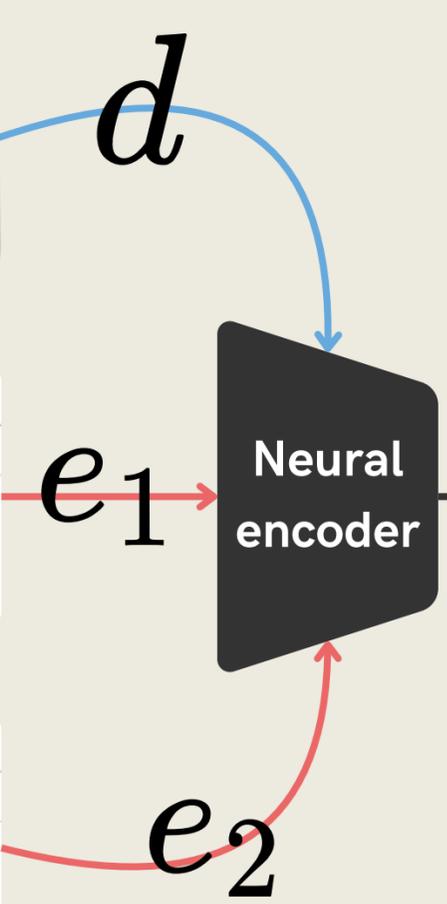
Susan Lee Sontag (/ˈsɒntæɡ/; January 16, 1933 – December 28, 2004) was an American writer, critic, and public intellectual. She mostly wrote essays, but also published novels; she published her first major work, the essay "Notes on 'Camp'",

Henriette Sontag

Article Talk

From Wikipedia, the free encyclopedia

Henriette Sontag, born **Gertrude Walpurgis Sontag**, and, after her marriage, entitled **Henriette, Countess Rossi** (3 January 1806 – 17 June 1854), was a German operatic soprano of great international renown. She possessed a sweet-toned,



$$\vec{d} = [0.3, -0.23, \dots]$$
$$\vec{e}_1 = [-0.42, 0.11, \dots]$$
$$\vec{e}_2 = [0.03, 0.01, \dots]$$

Similarity computed as cosine distance **heavily relies** on the accuracy of entity representations

$$\langle \vec{d}, \vec{e}_1 \rangle > \langle \vec{d}, \vec{e}_2 \rangle$$

Historical documents: a blind spot for (L)LMs because of popularity.

Susan Sontag

[Article](#) [Talk](#)

From Wikipedia, the free encyclopedia

Pageviews

Pageviews: 32,457
Daily average: 1,047

Revisions

Edits: 6
Editors: 5

Basic information

Watchers: 345
Size: 66,638
Protection: autoconfirmed
Class:  B



Henriette Sontag

[Article](#) [Talk](#)

From Wikipedia, the free encyclopedia

Pageviews

Pageviews: 678
Daily average: 22

Revisions

Edits: 0
Editors: 0

Basic information

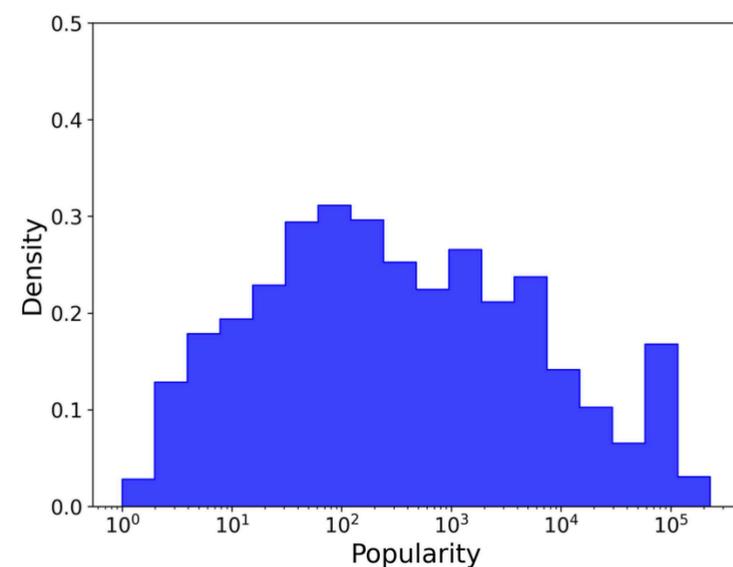
Watchers: Unknown
Size: 5,430
Protection: none
Class:  Start



Information retrieval methods consistently exploit Wikipedia for **entity descriptions**

More popular entities have longer descriptions and result in **less shallow representations**

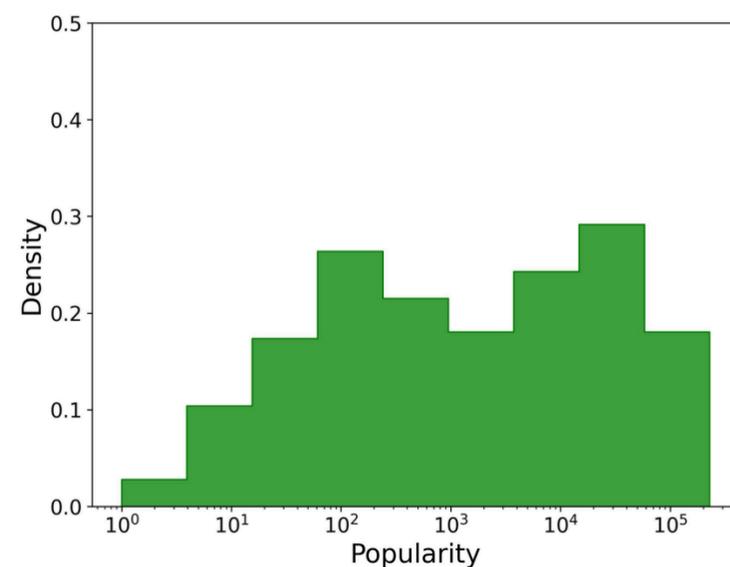
Historical documents are especially long-tail benchmarks



MHERCL

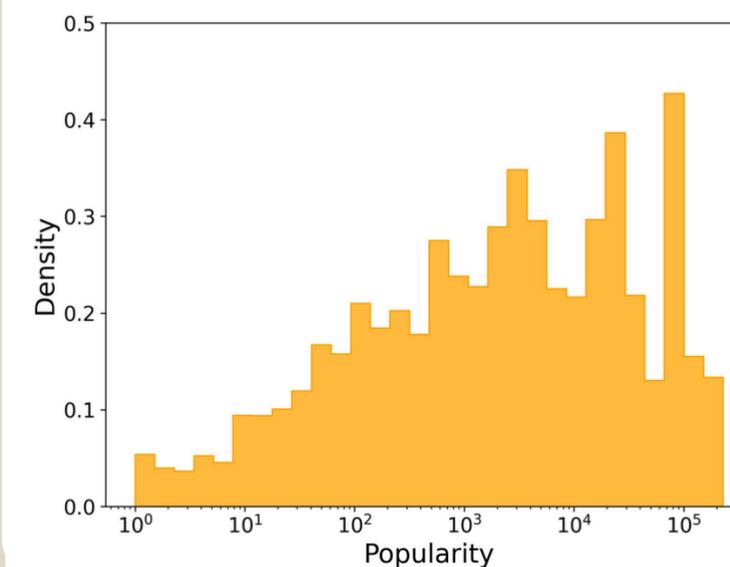
Entities popularity distribution

Historical newspapers benchmarks



HIPE-2020

Entities popularity distribution



AIDA CONLL-YAGO

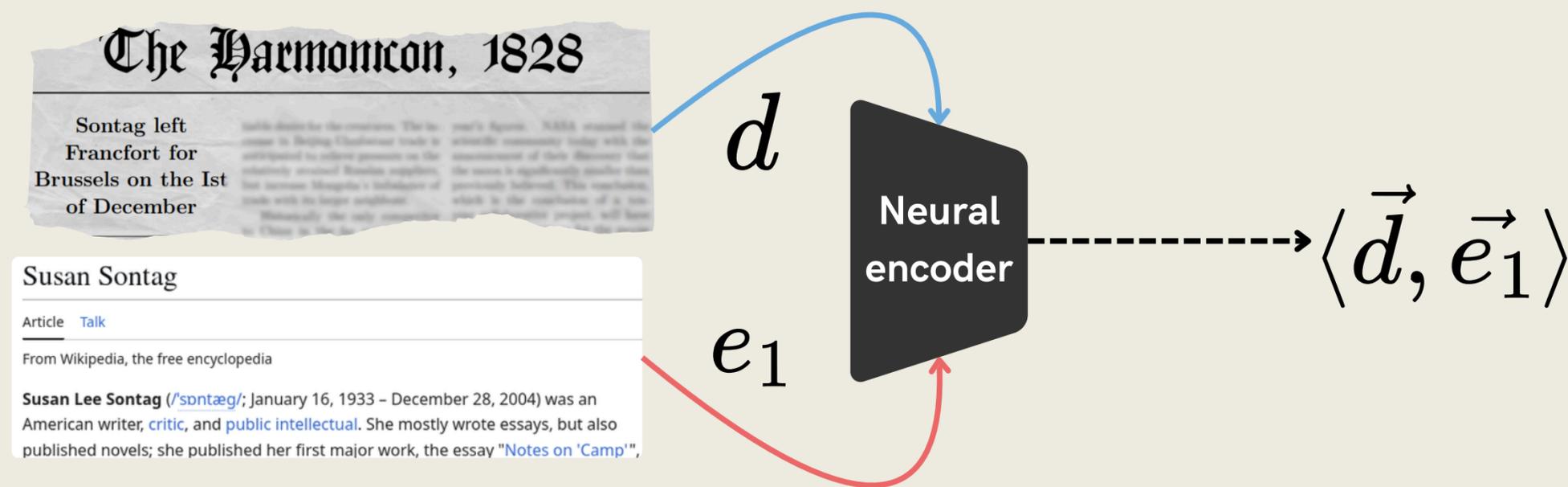
Entities popularity distribution

Nowadays news benchmark

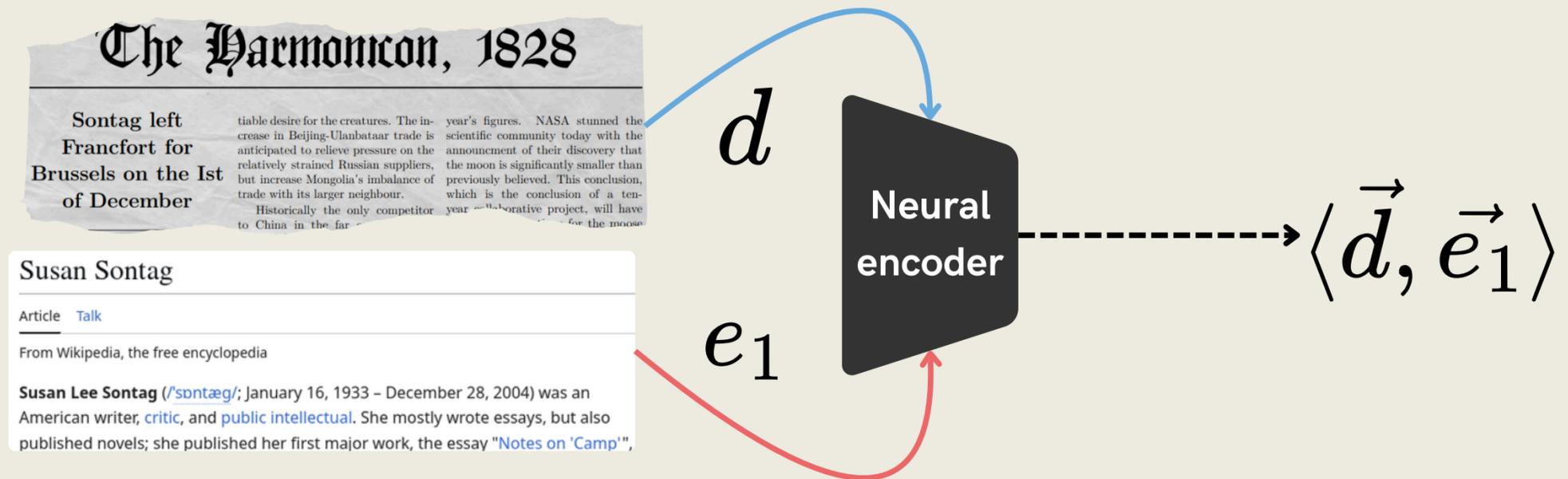
RESEARCH QUESTIONS

[RQ1] What challenges affect the **retrieval** of unpopular entities?

[RQ2] How can we **enhance** (L)LMs' performance in retrieving these entities?



INTUITION



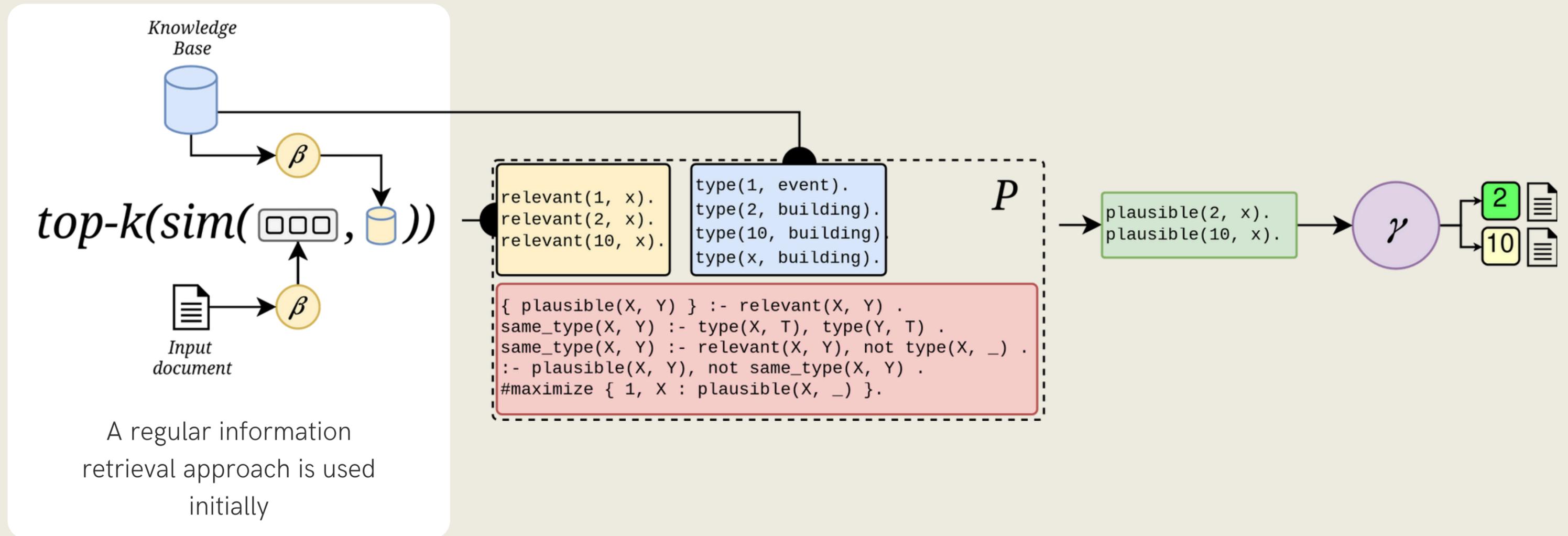
...Why even bother checking against **implausible entities?**

CONTRIBUTIONS

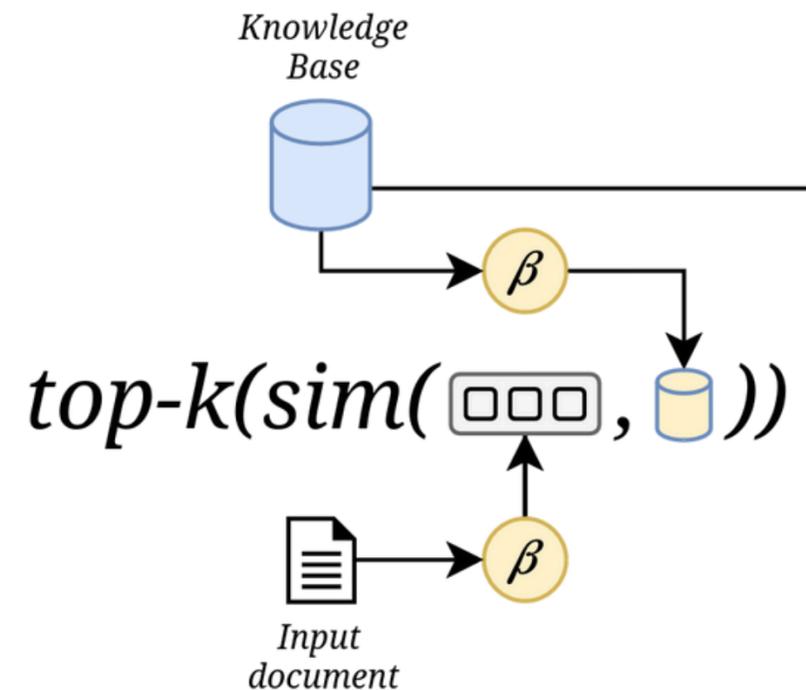
- A method based on **Answer Set Programming (ASP)** that imposes logical plausibility constraints on the output of LM-based retrieval systems.
- Tests on four **historical documents benchmarks** annotated for the **Entity Linking** task show our method **boosts recall** and surpasses specialized models.

PROPOSED METHOD

Constraining information retrieval through Answer Set Programming

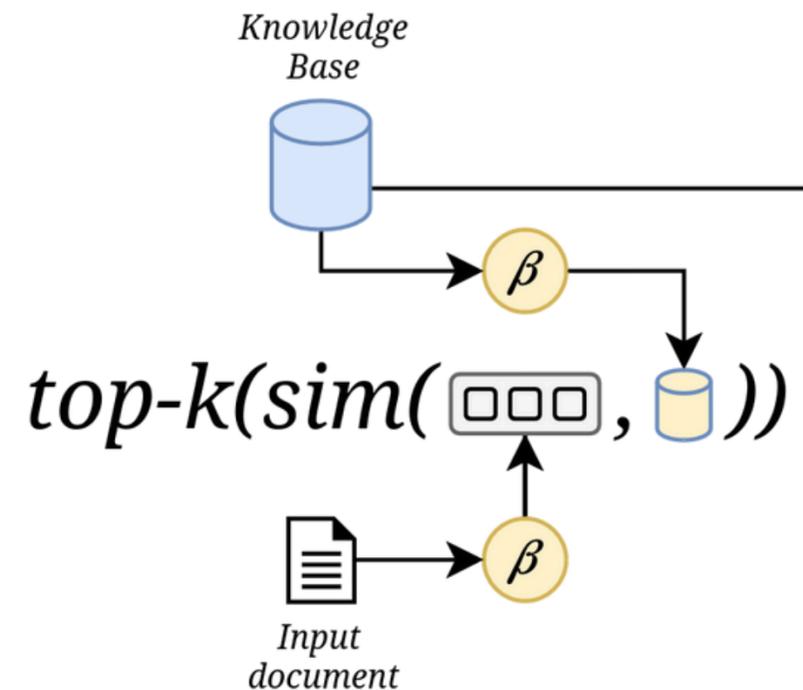


Constraining information retrieval through Answer Set Programming



We exploit datasets annotated for **entity linking** and interpret an annotated named entity as a the retrieval **query**

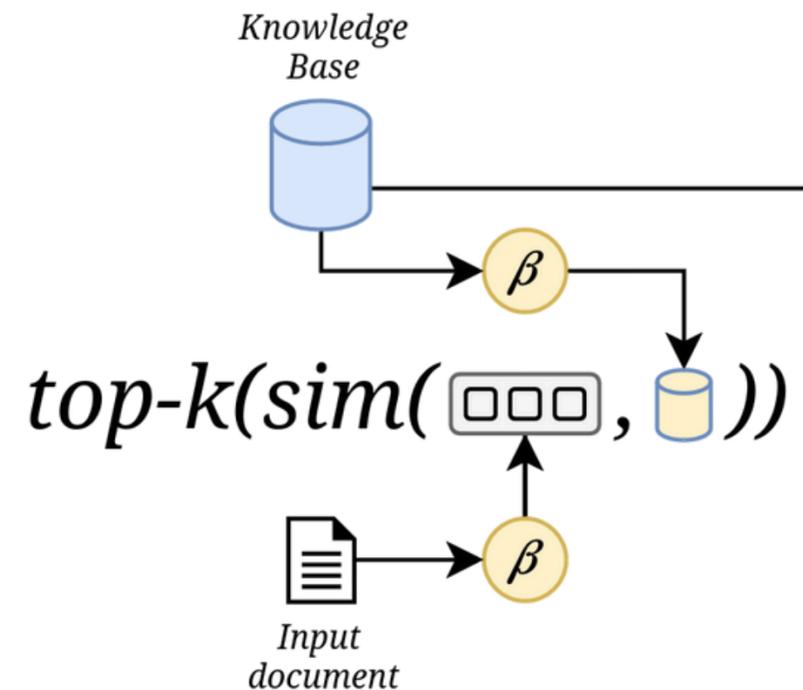
Constraining information retrieval through Answer Set Programming



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The document encoder β is a **regular sentence embedding method** (MPNet, distill-RoBERTa, MiniLM)

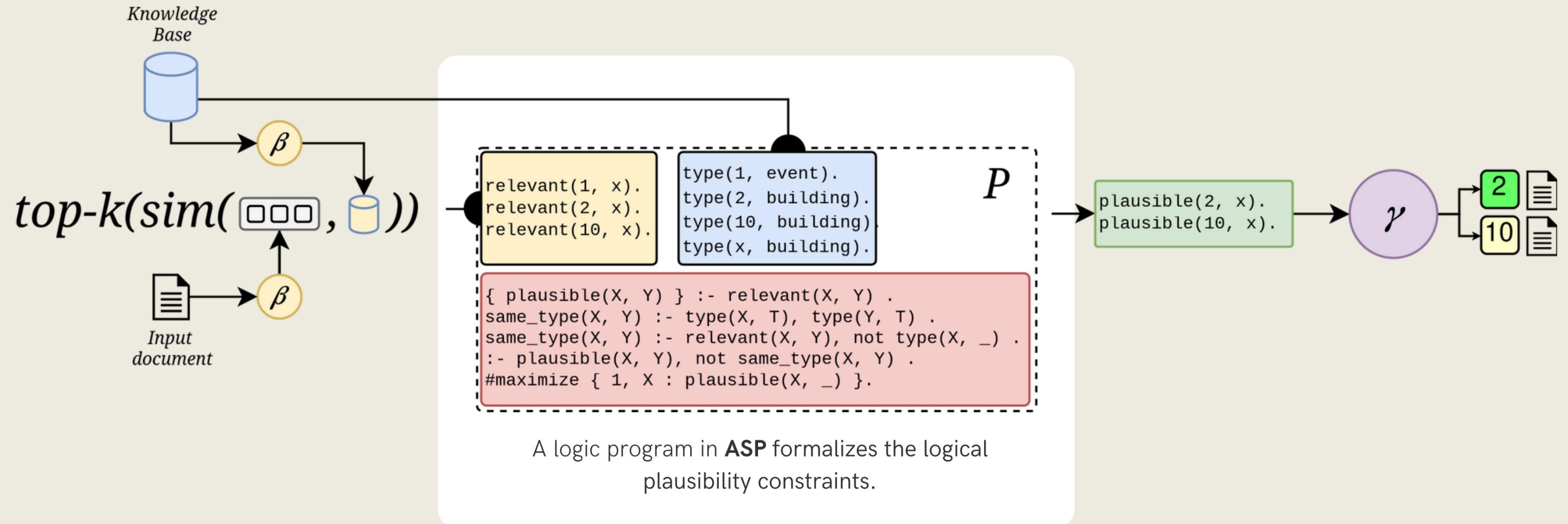
Constraining information retrieval through Answer Set Programming



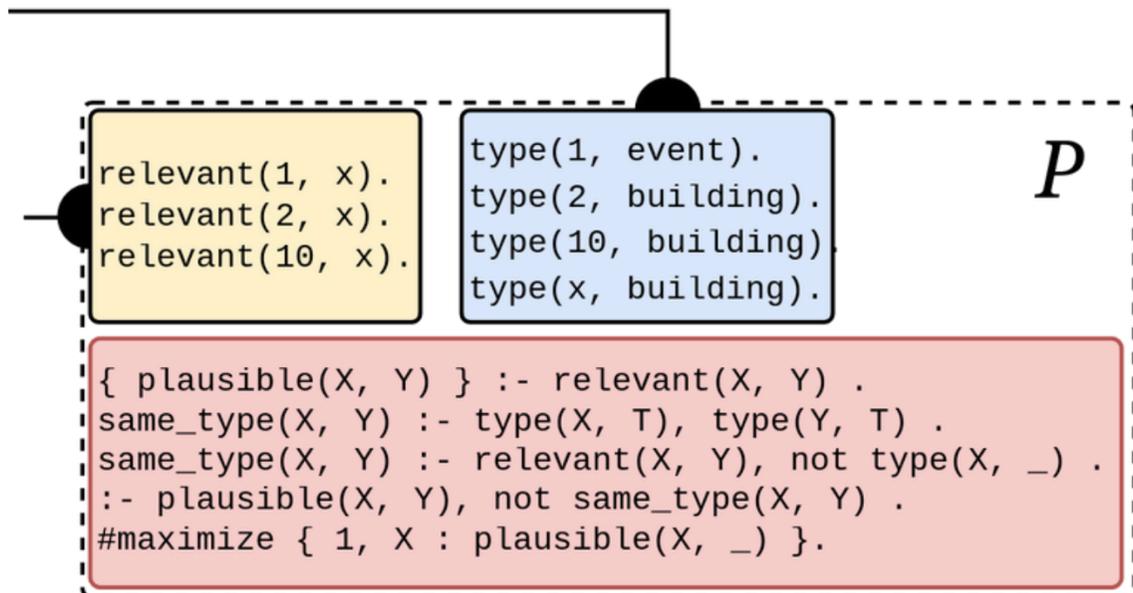
We encode the input sentence using β and **bias** it towards the input entity by projecting it on the embedding of the entity computed with β

The encoder β is not finetuned for entity retrieval, hence it is not biased because of standard datasets.

Constraining information retrieval through Answer Set Programming



Constraining information retrieval through Answer Set Programming



A logic program in **ASP** formalizes the logical plausibility constraints.

```

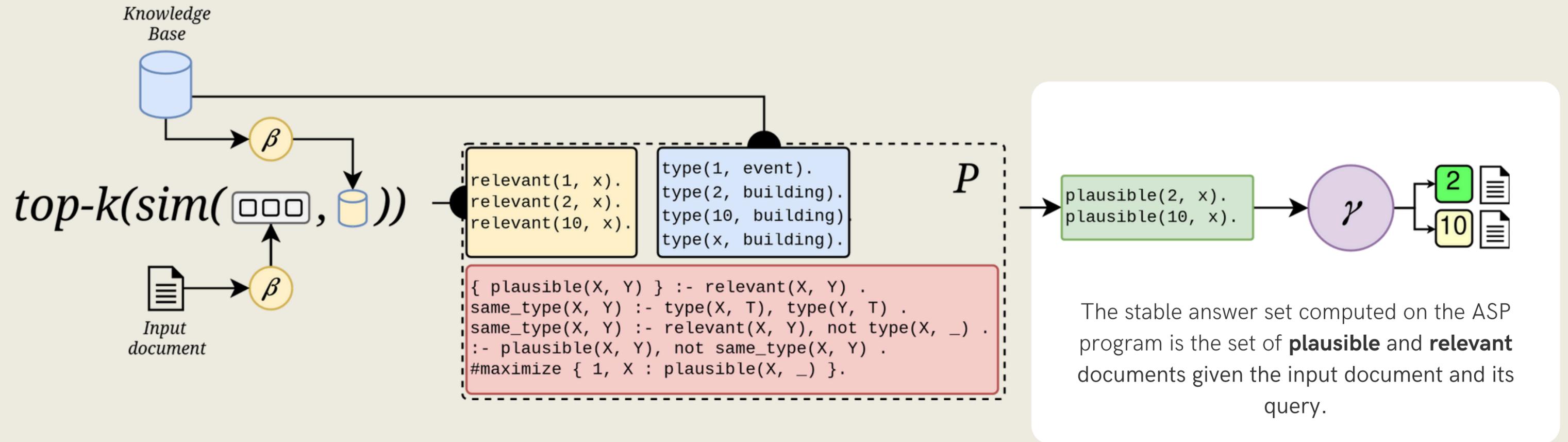
% Generate plausible candidates
{ plausible(X, Y) } :- relevant(X, Y) .
% Define type-plausibility and remove implausible candidates
same_type(X, Y) :- type(X, T), type(Y, T) .
same_type(X, Y) :- relevant(X, Y), not type(X, _) .
:- plausible(X, Y), not same_type(X, Y) .
% Define year-plausibility and remove implausible candidates
compatible_year(X, Y) :- year(X, YX), year(Y, YY), YX <= YY .
compatible_year(X, Y) :- relevant(X, Y), not year(X, _) .
:- plausible(X, Y), not compatible_year(X, Y) .
% Compute the answer set with the highest number of plausible candidates
#maximize { 1, X : plausible(X, _) }.

```

Type plausibility: a plausible entity must be classified with the same type of the named entity.

Date plausibility: a plausible entity must be have an associated Wikidata date that precedes the one of the input document.

Constraining information retrieval through Answer Set Programming



RESULTS

HIPE2020 (*Annotations: Entity Linking*)

a dataset of 19C US historical newspapers

| Model | | R@10 | R@30 | R@50 | R@100 | R@200 | R@300 |
|----------------------|-------|-------------|-------------|-------------|-------------|-------------|-------|
| ReLiK [24] | | 0.81 | 0.90 | 0.93 | 0.96 | 0.97 | 1.00 |
| MPNet [35] | | 0.42 | 0.62 | 0.73 | 0.89 | 0.99 | 1.00 |
| | + ASP | 0.65 | 0.91 | 0.96 | 0.99 | 0.99 | 1.00 |
| distill-RoBERTa [36] | | 0.39 | 0.58 | 0.71 | 0.83 | 0.94 | 1.00 |
| | + ASP | 0.59 | 0.87 | 0.94 | 0.99 | 1.00 | 1.00 |
| MiniLM [37] | | 0.31 | 0.49 | 0.59 | 0.82 | 0.96 | 1.00 |
| | + ASP | 0.51 | 0.84 | 0.95 | 0.99 | 1.00 | 1.00 |

Type plausibility

Date plausibility

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Type plausibility

Date plausibility

MHERCL (*Annotations: Entity Linking*)

a dataset of British music magazines of the 19C

| Model | | R@10 | R@30 | R@50 | R@100 | R@200 | R@300 |
|----------------------|-------|-------------|-------------|-------------|-------------|-------------|-------|
| ReLiK [24] | | 0.84 | 0.91 | 0.93 | 0.96 | 0.99 | 1.00 |
| MPNet [35] | | 0.38 | 0.65 | 0.73 | 0.88 | 0.97 | 1.00 |
| | + ASP | 0.72 | 0.92 | 0.96 | 0.99 | 1.00 | 1.00 |
| distill-RoBERTa [36] | | 0.39 | 0.58 | 0.71 | 0.82 | 0.96 | 1.00 |
| | + ASP | 0.68 | 0.87 | 0.96 | 0.99 | 1.00 | 1.00 |
| MiniLM [37] | | 0.27 | 0.49 | 0.61 | 0.78 | 0.92 | 1.00 |
| | + ASP | 0.68 | 0.89 | 0.95 | 0.99 | 1.00 | 1.00 |

Type plausibility

Date plausibility

AjMC (*Annotations: Entity Linking*)

a dataset of 19C commentaries about Sophocle's tragedy "Ajax"

| Model | | R@10 | R@30 | R@50 | R@100 | R@200 | R@300 |
|----------------------|-------|-------------|-------------|-------------|-------------|-------------|-------|
| ReLiK [24] | | 0.90 | 0.93 | 0.93 | 0.94 | 0.99 | 1.00 |
| MPNet [35] | | 0.38 | 0.50 | 0.52 | 0.94 | 0.98 | 1.00 |
| | + ASP | 0.51 | 0.96 | 1.00 | 1.00 | 1.00 | 1.00 |
| distill-RoBERTa [36] | | 0.29 | 0.47 | 0.51 | 0.92 | 1.00 | 1.00 |
| | + ASP | 0.45 | 0.95 | 1.00 | 1.00 | 1.00 | 1.00 |
| MiniLM [37] | | 0.23 | 0.39 | 0.39 | 0.50 | 0.98 | 1.00 |
| | + ASP | 0.39 | 0.51 | 0.98 | 1.00 | 1.00 | 1.00 |

Type plausibility

Date plausibility

TopRes19th (*Annotations: Entity Linking*)

a dataset of 18C-19C British library documents (scope restricted to toponyms)

| Model | | R@10 | R@30 | R@50 | R@100 | R@200 | R@300 |
|----------------------|-------|-------------|-------------|-------------|-------------|-------------|-------|
| ReLiK [24] | | 0.83 | 0.90 | 0.91 | 0.93 | 0.97 | 1.00 |
| MPNet [35] | | 0.30 | 0.64 | 0.76 | 0.87 | 0.98 | 1.00 |
| | + ASP | 0.73 | 0.98 | 0.99 | 1.00 | 1.00 | 1.00 |
| distill-RoBERTa [36] | | 0.34 | 0.56 | 0.71 | 0.85 | 0.94 | 1.00 |
| | + ASP | 0.59 | 0.72 | 0.99 | 1.00 | 1.00 | 1.00 |
| MiniLM [37] | | 0.21 | 0.42 | 0.61 | 0.76 | 0.95 | 1.00 |
| | + ASP | 0.62 | 0.95 | 1.00 | 1.00 | 1.00 | 1.00 |

Type plausibility

Date plausibility

The Harmonicon, 1828

Sontag (Q64098) left Francfort for Brussels on the 1st of December.

| Model | Top 10 |
|---------------|---|
| ✗ Relik | Brussels [Q240], Sontag [Q47519541], Alan Sontag [Q945286], Susan Sontag [Q152824], Belfort [Q171545], ... |
| ✗ MPNet | Sontag [Q47519541], Sontag, MS [Q7562392], Sonbolabad [Q7560867], Sondor (disambiguation) [Q22349595], Frank Sontag [Q5489708], ... |
| ✓ MPNet + ASP | Sontag [Q47519541], Soner [Q962275], Henriette Sontag [Q64098] , Sonam [Q7560775], Ernst Sonntag [Q19661367], ... |

Type plausibility

Date plausibility

TopRes19th, 1863

And that an AUDIT for the RESERVED and CHIEF RENTS for the Manor of Stayley, in the county of Chester (Q23064), will be holden at the Eagle Inn, in Stalybridge, on Thursday, the 7th day of May next, between the hours of Eleven and Two o clock, on which days the tenants are requested to pay their rents.

| Model | Top 10 |
|---------------|---|
| ✗ Relik | Chester [Q170263], Justice of Chester [Q616310], Earl of Chester [Q1277249], Earl of Warrington [Q5326386], Exchequer of Chester [Q5419617], ... |
| ✗ MPNet | Chester County [Q227112], Chester County Courthouse [Q1070703], Chester County History Center [Q19866503], New Chester [Q16462307], Diocese of Chester [Q543301], ... |
| ✓ MPNet + ASP | Chester Rural District [Q5093705], 1724 Chester Courthouse [Q4552563], Chester County, Pennsylvania [Q27840], Chester (town), Orange County, New York [Q2756901], Cheshire [Q23064], ... |

Cheshire's name was originally derived from an early name for **Chester**,

From: <https://en.wikipedia.org/wiki/Cheshire>

Type plausibility

Date plausibility

Conclusions:

A little semantics goes a long tail!



- **Information Retrieval** empowers a lot of applications (EL, RAG, etc.) and it **can greatly benefit from logical constraints**
- **ASP** is a highly scalable, intuitive and convenient technology to achieve **neuro-symbolic integrations**
- A **simple sentence embedding** method + **ASP** might be **more than enough** to retrieve your data!

THANKS!

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